

STATE OF SOUTH DAKOTA
DEPARTMENT OF LABOR AND REGULATION
DIVISION OF SECURITIES

IN THE MATTER OF:

THE WEALTH PROTECTION GROUP, LLC)	
CRD# 283943;)	
JASON SEURER, CRD# 2541616)	CONSENT AGREEMENT FOR
)	RESTRICTED REGISTRATION
)	

TO: Jason Seurer
The Wealth Protection Group, LLC
5159 Main St. E., Suite 110
Maple Plain, MN 55359

The Director of the Division of Securities, Mr. Larry Deiter, has agreed to register The Wealth Protection Group, LLC and Jason Seurer in South Dakota pursuant to the following conditions:

1. That RIA Registrar will provide ongoing support, guidance, review and consultation to The Wealth Protection Group, LLC for a minimum of five years from the date of registration to monitor The Wealth Protection Group, LLC's compliance with the South Dakota Uniform Securities Act according to the contract attached hereto;
2. That RIA Registrar will conduct a compliance audit (at least annually) for a minimum of five years from the date of registration and will document all deficiencies noted during those audits, as well as actions The Wealth Protection Group, LLC takes to remedy those deficiencies;
3. That RIA Registrar shall provide the South Dakota Division of Securities with a copy of the results of each audit of The Wealth Protection Group, LLC within thirty (30) days of such audit;
4. That RIA Registrar shall notify the South Dakota Division of Securities if The Wealth Protection Group, LLC fails to remedy any deficiency within ninety (90) days of the date RIA Registrar notified The Wealth Protection Group of said deficiency;
5. At the request of the South Dakota Division of Securities, The Wealth Protection Group, LLC and RIA Registrar will produce all documents and records related to any

JS


reports, reviews, findings or other documents produced as a result of work performed pursuant to paragraphs 1 and 2 above;

6. If either party terminates their agreement, they shall notify the South Dakota Division of Securities within ten (10) days of such termination;
7. That Mr. Seurer shall obtain and be covered by Errors and Omissions Insurance for the duration of his registration in South Dakota;
8. That The Wealth Protection Group, LLC shall obtain a surety bond in an amount that would bring his business net worth to a positive amount for the duration of his registration in South Dakota. A copy of this surety bond should be reported to the Division at the time the firm files its annual updating amendment;
9. That Mr. Seurer keep client notes in a format that is not changeable;
10. That The Wealth Protection Group, LLC shall obtain an email monitoring system that will maintain all of his incoming and outgoing emails;
11. If The Wealth Protection Group, LLC or Mr. Seurer becomes the subject of a written or oral customer complaint regarding allegations arising from his conduct of securities business, Mr. Seurer shall notify the Director, in writing, within ten (10) business days from his receipt of such complaint;
12. If The Wealth Protection Group, LLC or Mr. Seurer becomes the subject of any regulatory investigation, internal investigation, arbitration proceeding, or securities-related litigation concerning allegations arising from his conduct of securities business Mr. Seurer shall notify the Director, in writing, within ten (10) business days of the investigation, proceeding or litigation;
13. That at the end of five years, Mr. Seurer and The Wealth Protection Group, LLC may submit an affidavit to the Division stating that they have complied with all aspects of this Consent Agreement and requesting that the restrictions be removed or modified. At that time, the Division will determine whether to remove Mr. Seurer and The Wealth Protection Group's restricted registration. Determination as to whether the agreement will be lifted shall be solely at the discretion of the South Dakota Division of Securities;
14. That all documents, responses and notifications should be sent to Erin McIntyre Menkhaus, Senior Enforcement Attorney, 2330 N. Maple Ave., Suite 1, Rapid City, SD 57701.

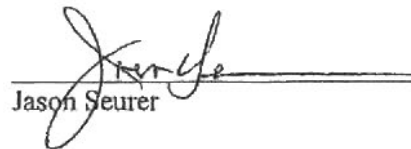
Failure to meet the above expectations may result in further action to condition or revoke the registration of The Wealth Protection Group, LLC and/or Mr. Jason Seurer.



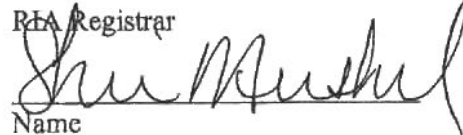
Dated this 13th day of March, 2017.

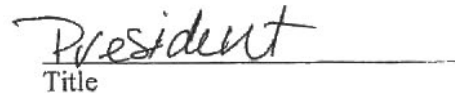

Larry Deiter
Director
Division of Securities
124 S. Euclid Ave., Suite 104
Pierre, SD 57501

Dated this 10th day of March, 2017.


Jason Seurer

Dated this 10th day of March, 2017.

RIA Registrar

Name


Title